

# PATENT COOPERATION TREATY

1FD

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

## PCT

To:

Gates, Edward R.  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210  
ETATS-UNIS D'AMERIQUE

### NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing  
(day/month/year)

20/08/2002

Applicant's or agent's file reference

H0535/7012W0

#### IMPORTANT NOTIFICATION

International application No.

PCT/US 01/ 22681

International filing date (day/month/year)

19/07/2001

Priority date (day/month/year)

21/07/2000

Applicant

PERICOR SCIENCE, INC.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

For the purpose of deciding whether the claimed invention is patentable or not, the elected Offices may apply criteria additional to or different from the criteria on which the international preliminary examination report is based (see Articles 27 (5), 33 (5)). Additional criteria may include e.g. exemptions from patentability and the requirements of enabling disclosure and of clarity and support of claims.

|  |   |
|--|---|
| <p>File Folder</p> <p>ECB</p> <p>Docket Entry</p> <p>Docket Cross Off</p> <p>Order Copies</p> <p>Annuities</p> <p>Confirmation</p> | <p>Initials</p> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> </div> |
|--|---|

DOCKETED

AUG 28 2002

Name and mailing address of the IPEA/



European Patent Office  
D-80298 Munich  
Tel. (+49-89) 2399-0, Tx: 523656 epmu d  
Fax: (+49-89) 2399-4465

Authorized officer

KENNEDY M B

Tel. (+49-89) 2399 2828



# PATENT COOPERATION TREATY

# PCT

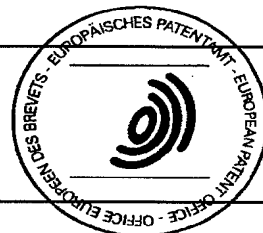
## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

|   |   |  |
|---|---|--|
| Applicant's or agent's file reference<br><br><b>H0535/7012WO</b>                                    | <div style="display: flex; justify-content: space-between;"> <div><b>FOR FURTHER ACTION</b></div> <div>See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)</div> </div> |  |
| International application No.<br><br><b>PCT/US 01/ 22681</b>  | International filing date ( <i>day/month/year</i> )<br><br><b>19/07/2001</b>  | Priority date ( <i>day/month/year</i> )<br><br><b>21/07/2000</b> |
| International Patent Classification (IPC) or national classification and IPC<br><br><b>A61K9/16</b> |   |  |
| Applicant<br><br><b>PERICOR SCIENCE, INC.</b>   |   |  |

|    |  |
|----|--|
| 1. | This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.   |
| 2. | This <b>REPORT</b> consists of a total of <u>  2  </u> sheets, including this cover sheet.<br><br><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).<br><br>These annexes consists of a total of <u>          </u> sheets.   |
| 3. | This report contains indications relating to the following items:<br><br><div style="margin-left: 20px;">           I <input checked="" type="checkbox"/> Basis of the report<br/>           II <input type="checkbox"/> Priority<br/>           III <input checked="" type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability<br/><br/>           IV <input type="checkbox"/> Lack of unity of invention<br/>           V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement<br/><br/>           VI <input type="checkbox"/> Certain documents cited<br/>           VII <input type="checkbox"/> Certain defects in the international application<br/>           VIII <input type="checkbox"/> Certain observations on the international application         </div> |

|   |  |
|---|--|
| Date of submission of the demand<br><br><b>24/01/2002</b>   | Date of completion of this report<br><br><b>14/08/2002</b>               |
| Name and mailing address of the IPEA/<br><br><div style="display: flex; align-items: center;"> <div>             European Patent Office<br/>             D-80298 Munich<br/>             Tel. (+49-89) 2399-0, Tx: 523656 epmu d<br/>             Fax: (+49-89) 2399-4465           </div> </div> | Authorized officer<br><b>FLETCHER A S</b><br><br>Tel. (+49-89) 2399 2828 |



**I. Basis of the report**

The basis of this international preliminary examination is the application as originally filed.

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The question of whether the claimed invention appears to be novel, to involve an inventive step, or to be industrially applicable has not been the subject of the international preliminary examination in respect of the claims which have not been searched (Article 17(2)(a) or (3) and Rule 66.1(e) PCT); see also international search report).

**V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability**

To the extent that the international preliminary examination has been carried out (see item III above), the following is pointed out:

In light of the documents cited in the international search report, it is considered that the invention as defined in the independent claims, which have been the subject of an international search report, meets the criteria mentioned in Article 33(1) PCT, i.e. appears to be novel, to involve an inventive step and to be industrially applicable.